FOR UTILITY/DESIGN CIP/PCT NATIONAL/PLANT FOR PATENT APPLICATION ORIGINAL/SUBSTITUTE/SUPPLEMENTA

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RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY

PW **FORM** 

DECLARATIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED Methods for the Treatment and Diagnosis of Prostate Cancer Based on P75NTR Tumor Suppression

|                                   |                             |   | ( <u>CHECK</u> applicable <u>BOX(</u>                               | ES) )                      |   |  |  |
|-----------------------------------|-----------------------------|---|---|----------------------------|---|--|--|
|                                   |                             | ttached hereto.                                 | F-h 44 2002   | II C A                     | mbostian No                                 | 10/071 649   |  |
| ( )                               |                             | I was filed on<br>I was filed as PO             | February 11, 2002<br>CT International App                           |                            | •   | 10/071,648<br>on                                   | )  |
|                                   | _                           |   | ation) was amended on   | Toution No. 1 of           |   |  |  |
| I hereby state t                  | hat I have                  | reviewed and unde                               | rstand the contents of the abo                                      | ove identified specifica   | tion, including the                         | claims, as amended b                               | by any amendment referred to                                 |
| above. Lackno                     | wledge the                  | e duty to disclose al                           | ll information known to me to<br>(a)-(d) or 365(b) of any foreigi   | be material to patenta     | bility as defined in<br>ent or inventor's c | i 37 C.F.R. 1 56 Exce<br>entificate or 365(a) of a | pt as noted below, I hereby claim                            |
| Application whi                   | ich designa                 | ted at least one oth                            | ner country than the United S                                       | tates, listed below and    | have also identifi                          | ed below any foreign a                             | pplication for patent or inventor's                          |
|                                   |                             |   |   |                            |   | is application and havii                           | ng a filing date (1) before that of                          |
| the application                   | on which p                  | riority is claimed, o                           | r (2) if no priority claimed, be                                    | ore the filing date of the | iis application                             |  |  |
|                                   | EIGN API                    | PLICATION(S)                                    |   |                            | first Laid-                                 | Date Patented                                      | _  |
| Number                            |                             | Country   | Day/MONTH/Year  | <u>-iled</u> <u>ope</u>    | en or Published                             | <u>or Grante</u>                                   | d Priority NOT Claimed                                       |
|                                   |                             |   |   |                            |   |  |  |
|                                   |                             |   |   |                            |   |  |  |
|                                   |                             |   |   |                            |   |  |  |
|                                   |                             |   |   |                            |   |  |  |
| If more prior f                   | oreign ani                  | lications. X box a                              | t bottom and continue on a  | ttached page.              |   |  |  |
| Except as note                    | d below, I                  | nereby claim dome                               | stic priority benefit under 35 t                                    | JSC 119(e) or 120 a        |   |  | es applications listed below and                             |
| PCT internation                   | nal applica                 | tions listed above o                            | r below and, if this is a contin<br>such prior applications, I ackr | uation-in-part (CIP) a     | pplication, insofar                         | as the subject matter of                           | disclosed and claimed in this                                |
| defined in 37 C                   | FR 156                      | which became ava                                | ilable between the filing date                                      | of each such prior apr     | lication and the n                          | ational or PCT internat                            | ional filing date of this                                    |
| application:                      |                             |   | v   |                            |   |  |  |
| DDIOD II S                        | DDUVISI                     | ONAL NONDRO                                     | VISIONAL AND/OR PC  | APPLICATION(S)             |   | Status   | Priority NOT Claimed   |
|                                   |                             | es code/serial n                                |   |                            |   | g, abandoned, pate                                 |  |
| 60/268,940                        |                             |   | 2/16/2001   |                            |   | abandoned  | <del></del>  |
|                                   |                             |   |   |                            |   |  |  |
|                                   |                             |   |   |                            |   |  |  |
| I hereby declar                   | e that all s                | tatements made he                               | rein of my own knowledge ar   | e true and that all stat   | ements made on i                            | nformation and belief a                            | are believed to be true, and                                 |
| further that the                  | se stateme                  | nts were made with                              | n the knowledge that willful fa                                     | lse statements and the     | e like so made are                          | punishable by fine or i                            | imprisonment, or both, under                                 |
| Section 1001 of                   | of Title 18 c               | f the United States                             | Code and that such willful fall                                     | se statements may jer      | pardize the validi                          | ty of the application or                           | any patent issued thereon.                                   |
| And I hereby a                    | nnoint Pills                | hury Winthron LLP                               | , Intellectual Property Group,                                      | telephone number (70       | 3) 905-2000 (to w                           | hom all communication                              | ns are to be directed), and                                  |
| persons of that                   | t firm who a                | ire associated with                             | USPTO Customer No 909 (s  | see below label) individ   | dually and collectr                         | vely my attorneys to pro                           | osecute this application and to                              |
| transact all bus                  | siness ın th                | e Patent and Trade                              | mark Office connected there   | with and with the resul    | ting patent, and I                          | hereby authorize them                              | to delete from that Customer No                              |
| names of person<br>the person/ass | ons no long<br>signee/attor | jer with their firm, to<br>nev/firm/ organizati | o add new persons of their Fi<br>ion who/which first sends/sen      | t this case to them an     | b, and to act and<br>by whom/which          | hereby declare that I                              | m and communicate directly with<br>have consented after full |
|                                   |                             |   | struct the above Firm and/or  |                            |   |  |  |
|                                   |                             |   | ill   |                            | 11  |  |  |
| us                                | E ONLY                      | FOR   |   |                            |   |  |  |
| PILLSE                            | BURY WI                     | NTHROP  | •••   | 00909                      |   |  |  |
|                                   |                             |   | • > 7   | 00909                      |   | 1 1  | •  |
| (1) INVENTO                       | ne's sigi                   | NATURE:   | marel Dr. Mr.   | <b>~</b>                   | Date  | . OS/15/0  | 02   |
| Name                              | Dan                         | ***   | <del>200000 /// 1</del>   | D.I                        | AKIEW                                       |  |  |
| Name                              | Dan                         | Firs  | , , , , , , , , , , , , , , , , , , ,                               | /liddle Initial            | ,   | Family Nam   | ~) } <sub>2</sub>  |
| <u> </u>                          | т                           |   |   |                            |   |  |  |
| Residence                         | McL                         |   |   | A/US                       | . 2 .                                       | Australia  |  |
|                                   | <del></del>                 | Cit   |   |                            | ign Country                                 |  | Country of Citizenship                                       |
| Mailing Addr                      | ess                         | 4000 Reservoir                                  | Rd., NW, Washington, D  | <u> </u>                   |   |  |  |
| (include Zip (                    | Code)                       | 20007   |   |                            |   |  |  |
| <u> </u>                          |                             |   |   |                            |   |  |  |
| (2) INVENTO                       | R'S SIG                     | NATURE:   |   |                            | Date  | ):   |  |
| Name                              |                             |   |   |                            |   |  |  |
|                                   |                             | Firs  | .t P  | /Jiddle Initial∍           |   | Fàmily Nam   | ne ·   |
| 5 :1                              |                             | 1113  |   | madic imital               |   | T diffiny i tan                                    |  |
| Residence                         | l                           |   |   | *                          |   | L  | 0 1 1000 11  |
|                                   |                             | Cit   | у   | State/Fore                 | ign Country                                 |  | Country of Citizenship                                       |
| Mailing Addr                      | ess                         |   |   |                            |   |  |  |
| (include Zip                      | Code)                       |   |   |                            |   |  |  |
|                                   |                             |   |   |                            |   |  |  |
|                                   | DDITIC                      | NAL INVENT                                      | ORS see attached p  | age.                       |   |  |  |
| 17 500 04                         |                             |   |   | -                          |   |  |  |
| □ See <u>au</u>                   |                             |   | <u>ties</u> on attached pag   | e (incorporated            |   |  |  |
| □ See <u>au</u>                   |                             |   | ties on attached pag  | e (incorporated            |   |  | 80704<br>(M#)  |



# Rule 56(a) & (b) = 37 C.F.R. 1.56(a) & (b) AND TRADEMARK CASES - RULES OF PRACTICE DUTY OF DISCLOSURE



(a) ...Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the [Patent and Trademark] Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability...(b) information is material to patentability when it is not cumulative and (1) It also establishes by itself, or in combination with other information, a prima facie case of unpatentability of a claim or (2) refutes, or is inconsistent with, a position the applicant takes in: (i) Opposing an argument of unpatentability relied on by the Office, or (ii) Asserting an argument of patentability

#### PATENT LAWS 35 U.S.C.

#### §102. Conditions for patentability; novelty and loss of right to patent

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months\* before the filing of the application in the United States, or
- (e) the invention was described in
  - (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
  - (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a); or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) (1) during the course of an interference conducted under section 135 or section 291, another inventor involved therein establishes, to the extent permitted in section 104, that before such person's invention thereof the invention was made by such other inventor and not abandoned, suppressed, or concealed, or
  - before such person's invention thereof, the invention was made in this country by another inventor who had not abandoned, suppressed, or concealed it. In determining priority of invention under this subsection there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

#### §103. Condition for patentability; non-obvious subject matter

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made. . . .
- (c) Subject matter developed by another person, which qualified as prior art only under one or more of subsections (e), (f) and (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

<sup>\*</sup> Six months for Design Applications (35 U.S.C. 172).





## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

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### CHANGE OF ADDRESS/POWER OF ATTORNEY

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THE PRACTITIONERS OF RECORD HAVE BEEN CHANGED TO CUSTOMER #

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PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD

AND THE PRACTITIONERS OF RECORD FOR CUSTOMER NUMBER 9

909 ARE:

| 16773<br>30793<br>36004 | 17698<br>31204<br>36239 | 18221<br>31361<br>36787 | 31542 | 31678 | 25323<br>32243<br>38825 | 32995 |  | 28458<br>35861<br>41835 | 28872<br>35959 |
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